

### संत लौंगोवाल अभियांत्रिकी एवं प्रौद्योगिकी संस्थान, लौंगोवाल, संगरुर, पंजाब - १४८ १०६ (मानव संसाधन) विकास मंत्रालय के अधीन)

#### Sant Longowal Institute of Engineering and Technology, Longowal, Distt. Sangrur, Punjab – 148106

(Deemed To Be University under MHRD)

Ref. No.: Adm / 2999-3005

Dated: 17-9-18

#### OFFICE ORDER

In pursuance of decision of the Board of Management taken in its 29<sup>th</sup> meeting held on 28.05.2018 vide agenda item No.29.10, "Advisory Committee on Faculty Recruitment (ACoFAR)" was constituted vide Office Order No.SLIET/DIR/772-774 dated 29.06.2018.

The draft Rules for Promotion of Faculty under Career Advancement Scheme (CAS) have been prepared by the ACoFAR. The draft Rules for Promotion of Faculty under CAS are being uploaded on the website of the Institute. All the stakeholders are requested to visit the Institute's website, study the same and send their comments/suggestions by 24.09.2018 in the Office of Registrar, so that these rules could be finalized by the ACoFAR and placed before the Board of Management in its next meeting for approval.

(Dr. J.S. Dhillon)
Professor (EIE) & Chairman, ACoFAR

Copy to:

1. Director, SLIET - for kind information, please.

2. Registrar

3. All Deans/HODs – with a request to circulate amongst all faculty members working in the departments.

4. Faculty In-charge(ACSS) –with a request to upload the enclosed draft Rules for Faculty under CAS on the website of the Institute.

5. File copy.

### Draft Rules and Regulations for Promotion of Faculty under Career Advancement Scheme (CAS)

(Applicable w.e.f. September/ October 2018



### Sant Longowal Institute of Engineering & Technology

(Deemed to be University under MHRD, Govt. of India)

Longowal, District Sangrur-148106, Punjab, India

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#### Sant Longowal Institute of Engineering & Technology

(Deemed to be University under MHRD, Govt. of India) Longowal, District Sangrur-148106, Punjab, India

#### Rules for Promotion of Faculty under Career Advancement Scheme (CAS)

#### 1. SHORT TITLE, APPLICATION AND COMMENCEMENT

- 1.1 The "Advisory Committee on Faculty Recruitment (ACoFAR)" was constituted for governing the promotion procedure of faculty in the Institute under Career Advancement Scheme, as per decision of the Board of Management taken in its 29<sup>th</sup> meeting held on 28.05.2018 vide Item No. 29.10.
- 1.2 These Rules and Regulations are called the SLIET Rules for Promotion of Faculty under Career Advancement Scheme (CAS) and will be read and implemented in conjunction with the All India Council for Technical Education (AICTE) (Career Advancement Scheme for the Faculty and Other Academic Staff in Technical Institutions-Degree) Regulations, 2012 amended from time to time.
- 1.3 These Rules and Regulation shall apply to every regular faculty members of the Institute.
- 1.4 A faculty member of the Institute means Professors, Associate Professors and Assistant Professors, who is a full time salaried employee of the Institute and does not include honorary, visiting, part-time, contractual and ad-hoc faculty.
- 1.5 These Rules and Regulations shall come into force with effect from the date of approval of Board of Management of the Institute.
- 1.6 In these Rules & Regulations, the context; otherwise requires,
  - a. "ACoFAR" means Advisory Committee on Faculty Recruitment
  - b. "AICTE" means the All India Council for Technical Education, New Delhi.
  - c. "Appendix" means the Appendix appended to these Rules.
  - d. "Appointing Authority" means the Director, SLIET in case of Group `B` & 'C' and the BoM for Group `A` posts.
  - e. "BoM" means the Board of Management of the Institute.
  - f. "CAS" means Career Advancement Scheme for the faculty of the Institute.
  - g. "CGPA" means Cumulative Grade Point Average
  - h. "Chairman" means the Chairman of the Board of Management of the Institute.
  - i. "Department" in relation to the Institute means an academic unit of the Institute engaged in academic activities (like teaching, research, etc) generally relating to a particular discipline or area.
  - j. "Director" means the Director of the Institute.
  - k. "HoD" means head of the Teaching Department of the Institute
  - 1. "Institute/SLIET" means Sant Longowal Institute of Engineering & Technology, Longowal, District- Sangrur, Punjab, PIN 148106.
  - m. "MHRD" means Ministry of Human Resource Development, New Delhi.

- n. "MoA" means the Memorandum of Association of the Institute as amended from time to time.
- o. "President" means the President of the Society of the Institute
- p. "UGC" means University Grants Commission, New Delhi.
- 1.7 Anything, otherwise, not contained in these rules shall be governed/regulated by the AICTE/MHRD/BoM guidelines issued in this regard from time to time.
- 1.8 If any revision / rules of CAS are notified by MHRD / AICTE / BoM, the same will be applicable from the date notified by these Bodies.

#### 2. GENERAL PROCEDURE/GUIDELINES

- 2.1 Faculty members who wish to be considered for promotion under Career Advancement Scheme may submit in writing to the Registrar, within three months in advance of the due date, stating that he/she fulfils all qualifications under CAS and submit the Performance Based Appraisal System (PBAS) on prescribed proforma as evolved by the Institute duly supported by all credentials as per the Academic Performance Indicator (API) guidelines set out in the Institute Regulations. In any event, the Institute shall send a general circular "Call for Applications for CAS" promotions from eligible candidates.
- 2.2 The incumbent faculty must be on the roll and active service of the Institute on the date of consideration by the Selection Committee for Promotion through Career Advancement Scheme.
- 2.3 Candidates who do not fulfil the minimum score requirement under the API Scoring System (Given in Rule-4) or those who obtain less than 50% in the expert assessment of the selection process will have to be re-assessed only after a minimum period of one year. The date of promotion shall be the date on which he/she is successfully got re-assessed.
- 2.4 If a candidate applies for promotion immediately on completion of the minimum eligibility period and is successful, the date of promotion will be made applicable from the date of completion of minimum period of eligibility.
- 2.5 If, however, the candidate finds that he/she fulfils the eligibility conditions at a later date and applies on that date and is successful, his/her promotion will be affected from the date of fulfilment of the eligibility conditions.
- 2.6 CAS promotion, being a personal promotion to the incumbent faculty holding a substantive sanctioned post, on superannuation or leaving the Institute by the individual incumbent, the said post shall revert to its original cadre.
- 2.7 All recommendations of the Selection Committee shall take effect only from the date of approval of the BoM or any later date as decided by the Board. There shall be no retrospective financial implementation of recommendations of the Selection Committee except fixation of pay on notional basis from the date of fulfilment of eligibility conditions.
- 2.8 The requirement of Vigilance Clearance Certificate from CVO of the Institute is essential for promotion to every stage under CAS.
- 2.9 The period spent on Extra Ordinary Leave, Study Leave (Other than QIP and special scheme of Government of India) during the period of assessment will not be counted towards service for the purpose of promotion under Career Advancement Scheme.

#### 3. STAGES OF PROMOTION UNDER CAS AND ELIGIBILITY CRITERIA

The eligibility criteria along with proposed scores for Academic Performance Indicators (APIs) for promotions under Career Advancement Scheme(CAS) has been devised based on the guidelines prescribed by the AICTE, New Delhi duly adopted by the Institute in its 29<sup>th</sup> BoM (Item No.29.10). The stages of Promotions of faculty under Career Advancement Scheme and eligibility criteria are described below:

### 3.1 Entry Level Assistant Professor (Stage 1, APL-10 of Rs.57700-98200) to Assistant Professor (Stage 2, APL-11 of Rs.68900-117200).

i. Entry Level Assistant Professor (Stage 1) or equivalent Grade Pay in 6<sup>th</sup> CPC, possessing Ph.D. Degree in the relevant discipline shall be eligible, for moving to the higher Academic Pay Level-11 of Rs.68900-117200 (Stage 2) after completion of four years' service as Assistant Professor.

Entry Level Assistant Professor (Stage 1) or equivalent Grade Pay in 6<sup>th</sup> CPC, possessing M.Phil. Degree or a Post-Graduate Degree in the professional courses (M.E. / M.Tech.), approved by the relevant statutory body, shall be eligible, for moving to the higher Academic Pay Level-11 of Rs.68900-117200 (Stage 2) after completion of five years' service as Assistant Professor.

Entry Level Assistant Professor (Stage 1) or equivalent Grade Pay in 6<sup>th</sup> CPC, who does not have Ph.D. or M.Phil. or a Master's Degree in the relevant professional course (M.E. / M.Tech.), shall be eligible, for moving to the higher Academic Pay Level-11 of Rs.68900-117200 (Stage 2) only after completion of six years' service as Assistant Professor.

- ii. Minimum API scores using PBAS scoring Performa prescribed by the Institute as per the norms provided in Rule-4.
- iii One orientation and one Refresher/ Research Methodology Course of 2/3 weeks duration approved or conducted by AICTE/ QIP / Central Govt./State Govt./ TEQIP / CIIILP/ ISTE/ NITTTR/ IIT/DTE/ SBTE/ University / SWAYAM / Advance NPTEL etc.
- iv. Consistently Good Annual Performance Appraisal Reports (APAR/ACRs) for the assessment period.
- v. Screening-cum-Verification process for recommending promotion. Candidates may be asked to appear before the Screening-cum-Verification Committee for personal interaction.

### 3.2 Assistant Professor (Stage 2, APL-11 of Rs.68900-117200) to Assistant Professor (Stage 3, APL-12 of Rs.79800-131700).

- i. Assistant Professor who has completed five years of service in the Academic Pay Level-11 of Rs.68900-117200 (Stage 2) or equivalent Grade Pay in 6<sup>th</sup> CPC shall be eligible, subject to meeting API based PBAS requirements laid down by these Regulations, to move up to next higher Academic Pay Level-12 of Rs.79800-131700 (Stage 3).
- ii. Minimum API scores using PBAS scoring Performa prescribed by the Institute as per the norms provided in Rule-4.
- iii One course/ programme from among the categories of refresher courses, methodology workshops, Training, Teaching Learning Evaluation Technology Programmes, Soft Skills development programmes and Faculty Development Programmes of 2/3 weeks duration approved or conducted by AICTE/ QIP /

- Central Govt./State Govt./ TEQIP / CIIILP/ ISTE/ NITTTR/ IIT/DTE/ SBTE/ University / SWAYAM / Advanced NPTEL etc.
- iv. Consistently Good Annual Performance Appraisal Reports (APAR/ACRs) for the assessment period.
- v. Screening-cum-Verification process for recommending promotion. Candidates may be asked to appear before the Screening-cum-Verification Committee for personal interaction.

### 3.3 Assistant Professor (Stage-3, APL-12 of Rs.79800-131700) to Associate Professor (Stage 4, APL-13A of Rs.131400-204700):

- i. Assistant Professor completing three years of teaching in the Academic Pay Level-12 of Rs.79800-131700 (Stage-3) or equivalent Grade Pay in 6th CPC shall be eligible, subject to the qualifying conditions and the API based PBAS requirement prescribed by these Regulations, to move to the Academic Pay Level-13A of Rs.131400-204700 (Stage-4) and to be designated as Associate Professor. Ph.D. Degree is mandatory requirement for moving to the higher grade of Associate Professor (Stage 4, APL-13A of Rs.131400-204700).

  Two years' post Ph.D. experience as Assistant Professor is desirable for promotion to the post of Associate Professor (Stage-4).
- ii. Minimum API scores using PBAS scoring Performa prescribed by the Institute as per the norms provided in Rule-4.
- iii One course/ programme from among the categories of refresher courses, methodology workshops, Training, Teaching Learning Evaluation Technology Programmes, Soft Skills development programmes and Faculty Development Programmes of minimum one-week duration approved or conducted by AICTE/QIP / Central Govt./State Govt./ TEQIP / CIIILP/ ISTE/ NITTTR/ IIT/DTE/ SBTE/ University / SWAYAM / Advanced NPTEL etc.
- iv. At least three publications (preferably one publication in Web of Science / SCOPUS during assessment period) in the entire period as Assistant Professor (twelve years).
- v. Consistently Good Annual Performance Appraisal Reports (APAR/ACRs) for the assessment period.
- vi. A Selection Committee process as stipulated in these Regulations.
- vii Industrial training of 2-4 weeks in reputed industry is desirable during service period of Stage I, II and III. (applicable w.e.f. January 2021)

### 3.4 Associate Professor (Stage-4, APL-13A of Rs.131400-204700) to Professor (Stage-5, APL-14 of Rs.144200-211800)

- i. Associate Professor completing three years of service in Stage-4, APL-13A of Rs.131400-204700 or equivalent Grade Pay in 6th CPC and possessing a Ph.D. Degree in the relevant discipline shall be eligible to be appointed and designated as Professor and be placed in the next higher Academic Pay Level-14 of Rs.144200-211800 (Stage-5).
  - Three years' experience as Associate Professor after acquiring Ph.D. degree is must for promotion to the post of Professor (Stage-5).
- ii. Minimum yearly/cumulative API scores using the PBAS scoring proforma prescribed by the Institute as per the norms provided in Rule-4.

- iii A minimum of five publications (preferably three publications in Web of Science / SCOPUS during assessment period) since the period that the faculty is placed in Stage-3.
- iv. Consistently Good Annual Performance Appraisal Reports (APAR/ACRs) for the assessment period.
- v. A selection committee process as stipulated in these Regulations.
- vi. Industrial training of 4-6 weeks in reputed industry is desirable during service period of Stage I, II, III and IV. (applicable w.e.f. January 2021)

### 4. CRITERIA FOR AWARDING ACADEMIC PERFORMANCE INDICATOR (API) SCORE UNDER CAS AS WELL AS DIRECT RECRUITMENT

For each category, even though several avenues of activities and their API scores are given to provide choice/ opportunity to the faculty, maximum limit of scores that can be given or carried forward under each category/ area during the assessment period is indicated below. The self-assessment scores are further to be based on the indicators / activities given below.

**NB.** The self-assessment score of the assessment period is subject to verification by the Institute and by the Screening-cum-Verification Committee or Selection Committee as the case may be.

# 4.1 CATEGORY: I. TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES Maximum Scores Allocated : 125 Minimum API Score Required : 75

**Brief Explanation**: Based on the faculty's self-assessment, API scores are proposed for (a) teaching related activities; (b) domain knowledge; (c) participation in examination and evaluation; (d) contribution to innovative teaching, new courses, etc. The minimum API score required by faculty from this category is 75. The self-assessment score should be based on objectively verifiable criteria wherever possible and will be finalized by the Screening/Selection committee.

	i.	Lectures/Seminars/Practicals/Tutorials/Contact classes taken should be based on verifiable records.  No score will be assigned if a faculty has taken less than 80% of the assigned classes.  Maximum Score of 50 if there is 100% performance in taking the classes and proportionate score up-to 80% performance, below which no score will be given)  Note: Maximum API score of 50 is to be divided among two semesters equally.  For each semester API score would be allocated to each subject on pro-rata basis taking AICTE prescribed load as the maximum.	Maximum Score:50		
	ii.	Lectures or other teaching duties (except self-studies courses) in excess of the AICTE norms.  If faculty has taken classes exceeding AICTE norms, then two points to be assigned for each extra hour of classes/ credit. Marks will be allocated as per the policy given at 4.1(i) above.  Maximum Score of 10 if there is 100% performance	Maximum Score:10		
-	iii.	curriculum with the prescribed material (text book/ manual etc), syllabus enrichment by providing additional resources to students (100% compliance=20 points)  (a) Extra assignments apart from regular six assignments (5			
		(a) Extra assignments apart from regular six assignments (5 questions per assignment) per subject.	01 po		

		extra
		assignme
		nt subject
		to 2
		points
		maximum
		per
		subject.
	(b) Extra quizzes with minimum of 10 questions	01 point
		for each
		extra quiz
		subject to
		2 points
		maximum
		per
	( ) O( 1 35 ( 1 1 1 1 ) ( C 1 1 1 ( 1 1 ) ( D ( 1 1 ) )	subject.
	(c) Study Material development for a subject (Theory/Practical)	4 for each
	(Laboratory Manual (set of experiment), course material, e-	subject
	resources, question bank for ICD, UG, GATE/NET etc.)	maximum
		of 12.
	(d) UG/ICD Project Guidance	2 per
1		Group
		maximum
		8 points
iv.	Use of Participatory and Innovative Teaching-Learning	Maximum
IV.		
	Methodologies, Updating of Subject Content, Course	Score 20
	Improvement etc.	
	(a) Updating of courses, design of curriculum (5 per subject)	10
	(b) Participatory and Innovative Teaching/Learning Process with	10
		10
		10
	materials for problem-based learning, case studies, group discussions etc.	
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v.	materials for problem-based learning, case studies, group discussions etc.  • Interactive Courses: 5 points per course  • Participatory Learning Modules: 5 points per module  • Case Studies: 5 points per study  (c) Use of ICT in Teaching/Learning process with computer aided methods like power-point/ Multimedia/ Simulation/ Software/Video etc.  (Use of any one of these in addition to chalk and Board: 5 points per course)  (d) Developing and imparting Remedial/ Bridge Courses (each activity: 5 points)  (e) Organizing and conduction of popularization programs/ training courses in computer assisted teaching/ web-based learning and e-library skills to students  • Workshop/ Training course (3-5 days): 5 points for each  • Popularization programme: 5 points for each  (f) Developing of laboratories, Initiative for purchase of equipment (minimum 5 lakhs), development of software. (Each activity 5 points)  Examination duties (Invigilation, question paper setting,	10 10 10
v.	materials for problem-based learning, case studies, group discussions etc.  Interactive Courses: 5 points per course Participatory Learning Modules: 5 points per module Case Studies: 5 points per study  (c) Use of ICT in Teaching/Learning process with computer aided methods like power-point/ Multimedia/ Simulation/ Software/Video etc. (Use of any one of these in addition to chalk and Board: 5 points per course)  (d) Developing and imparting Remedial/ Bridge Courses (each activity: 5 points)  (e) Organizing and conduction of popularization programs/ training courses in computer assisted teaching/ web-based learning and e-library skills to students Workshop/ Training course (3-5 days): 5 points for each Popularization programme: 5 points for each Popularization programme: 5 points for each (f) Developing of laboratories, Initiative for purchase of equipment (minimum 5 lakhs), development of software. (Each activity 5 points)  Examination duties (Invigilation, question paper setting, evaluation/assessment of answer scripts) as per allotment	10 10 10 Maximum Score 25
v.	materials for problem-based learning, case studies, group discussions etc.  • Interactive Courses: 5 points per course  • Participatory Learning Modules: 5 points per module  • Case Studies: 5 points per study  (c) Use of ICT in Teaching/Learning process with computer aided methods like power-point/ Multimedia/ Simulation/ Software/Video etc.  (Use of any one of these in addition to chalk and Board: 5 points per course)  (d) Developing and imparting Remedial/ Bridge Courses (each activity: 5 points)  (e) Organizing and conduction of popularization programs/ training courses in computer assisted teaching/ web-based learning and e-library skills to students  • Workshop/ Training course (3-5 days): 5 points for each  • Popularization programme: 5 points for each  • Popularization programme: 5 points for each  (f) Developing of laboratories, Initiative for purchase of equipment (minimum 5 lakhs), development of software. (Each activity 5 points)  Examination duties (Invigilation, question paper setting, evaluation/assessment of answer scripts) as per allotment  (a) End semester/ Annual Examination work as per duties allotted.	10 10 10 10 Maximum
v.	materials for problem-based learning, case studies, group discussions etc.  • Interactive Courses: 5 points per course  • Participatory Learning Modules: 5 points per module  • Case Studies: 5 points per study  (c) Use of ICT in Teaching/Learning process with computer aided methods like power-point/ Multimedia/ Simulation/ Software/Video etc.  (Use of any one of these in addition to chalk and Board: 5 points per course)  (d) Developing and imparting Remedial/ Bridge Courses (each activity: 5 points)  (e) Organizing and conduction of popularization programs/ training courses in computer assisted teaching/ web-based learning and e-library skills to students  • Workshop/ Training course (3-5 days): 5 points for each  • Popularization programme: 5 points for each  (f) Developing of laboratories, Initiative for purchase of equipment (minimum 5 lakhs), development of software. (Each activity 5 points)  Examination duties (Invigilation, question paper setting, evaluation/assessment of answer scripts) as per allotment  (a) End semester/ Annual Examination work as per duties allotted. (Invigilation- 5 points; Evaluation of answer scripts – 10 points;	10 10 10 Maximum Score 25
v.	materials for problem-based learning, case studies, group discussions etc.  • Interactive Courses: 5 points per course  • Participatory Learning Modules: 5 points per module  • Case Studies: 5 points per study  (c) Use of ICT in Teaching/Learning process with computer aided methods like power-point/ Multimedia/ Simulation/ Software/Video etc.  (Use of any one of these in addition to chalk and Board: 5 points per course)  (d) Developing and imparting Remedial/ Bridge Courses (each activity: 5 points)  (e) Organizing and conduction of popularization programs/ training courses in computer assisted teaching/ web-based learning and e-library skills to students  • Workshop/ Training course (3-5 days): 5 points for each  • Popularization programme: 5 points for each  (f) Developing of laboratories, Initiative for purchase of equipment (minimum 5 lakhs), development of software. (Each activity 5 points)  Examination duties (Invigilation, question paper setting, evaluation/assessment of answer scripts) as per allotment  (a) End semester/ Annual Examination work as per duties allotted. (Invigilation- 5 points; Evaluation of answer scripts - 10 points; Question paper setting- 5 points) (100% compliance = 20 points)	10 10 10 Maximum Score 25
v.	materials for problem-based learning, case studies, group discussions etc.  • Interactive Courses: 5 points per course  • Participatory Learning Modules: 5 points per module  • Case Studies: 5 points per study  (c) Use of ICT in Teaching/Learning process with computer aided methods like power-point/ Multimedia/ Simulation/ Software/Video etc.  (Use of any one of these in addition to chalk and Board: 5 points per course)  (d) Developing and imparting Remedial/ Bridge Courses (each activity: 5 points)  (e) Organizing and conduction of popularization programs/ training courses in computer assisted teaching/ web-based learning and e-library skills to students  • Workshop/ Training course (3-5 days): 5 points for each  • Popularization programme: 5 points for each  (f) Developing of laboratories, Initiative for purchase of equipment (minimum 5 lakhs), development of software. (Each activity 5 points)  Examination duties (Invigilation, question paper setting, evaluation/assessment of answer scripts) as per allotment  (a) End semester/ Annual Examination work as per duties allotted. (Invigilation- 5 points; Evaluation of answer scripts – 10 points;	10 10 10 Maximum Score 25

<ol><li>Points can be claimed only if duties are actually performed by the faculty without any adjustments.</li></ol>				
(b) Minor examination/ evaluation responsibilities for internal/ continuous assessment work as allotted (100% compliance=10 points)	10			
(c) Examination work such as coordination, Centre Superintendent, Deputy Superintendent or flying squad duties etc. (maximum of 5 or 10 depending upon intensity of duty) (100% compliance = 10 points)	10			

### 4.2 CATEGORY II: CO-CURRICULAR, EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES DURING ASSESSMENT PERIOD

Maximum Scores Allocated : 50 Minimum API Score Required: 15

**Brief Explanation**: Based on the faculty's self-assessment, category II API scores are proposed for co-curricular and extension activities; and Professional development related contributions. The minimum API required by faculty for eligibility for promotion is 15. A list of items and proposed score is given below. It will be noticed that all faculty can earn scores from a number of items, whereas some activities will be carried out only by one or a few faculty. The list of activities is broad enough for the minimum API score required (15) in this category to accrue to all faculty. As before, the self-assessment score should be based on objectively verifiable criteria and will be finalized by the screening/selection committee.

9. HO	Nature of Activity	Score						
(i)	Extension and Co-curricular, Extension and Professional	Maximum						
	Development Related Activities	Score 20						
	(a) Institutional Co-curricular activities for students such as field	10						
	studies/ educational tours, industrial training and placement							
	activity (5 point each)							
	(b) Positions held/Leadership role played in organization linked							
	with Extension Work and National Service Scheme (NSS), NCC,							
	NSO or any other similar activity (each activity 10 points)							
	(c) Students and Staff Related Socio Cultural and Sports	10						
	Programmes, campus publications (departmental level 2 points,							
	institutional level 5 points)							
	(d) Community work such as values of National Integration,	10						
	Environment democracy, socialism, Human Rights, peace,							
	scientific temper; flood or, drought relief, small family norms							
	etc. (5 points)							
(ii)	(ii) Contribution to Corporate Life and Management of the							
(**)		Maximum Score 15						
(11)	Institution	Score 15						
(11)	Institution  (a) Contribution to Corporate life in Universities/colleges through	Score 15						
(11)	Institution  (a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in	Score 15						
	Institution  (a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)	<b>Score 15</b> 10						
	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director,</li> </ul>	Score 15						
(22)	(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)  (b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator,	<b>Score 15</b> 10						
(22)	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar,</li> </ul>	<b>Score 15</b> 10						
(-2)	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar, Assistant Registrar, TEQIP Co-ordinator, Training &amp; Placement</li> </ul>	<b>Score 15</b> 10						
(22)	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar,</li> </ul>	<b>Score 15</b> 10						
(==)	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar, Assistant Registrar, TEQIP Co-ordinator, Training &amp; Placement Officer, Head of a Department/Section In-charge etc. (10 points)</li> </ul>	<b>Score 15</b> 10						
(==)	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar, Assistant Registrar, TEQIP Co-ordinator, Training &amp; Placement Officer, Head of a Department/Section In-charge etc. (10 points for each completed year)</li> </ul>	10 10						
()	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar, Assistant Registrar, TEQIP Co-ordinator, Training &amp; Placement Officer, Head of a Department/Section In-charge etc. (10 points for each completed year)</li> <li>(c) Participation in committees concerned with any aspect of</li> </ul>	10 10						
	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar, Assistant Registrar, TEQIP Co-ordinator, Training &amp; Placement Officer, Head of a Department/Section In-charge etc. (10 points for each completed year)</li> <li>(c) Participation in committees concerned with any aspect of departmental or institutional management such as admission</li> </ul>	10 10						
	<ul> <li>(a) Contribution to Corporate life in Universities/colleges through meetings, popular lectures, subject related events, articles in college magazine and University volumes (2 point each)</li> <li>(b) Institutional Governance responsibilities like, Dean, Director, Warden, Bursar, School Chairperson, IQAC Coordinator, Registrar, Chairman / Vice Chairman SET, Deputy Registrar, Assistant Registrar, TEQIP Co-ordinator, Training &amp; Placement Officer, Head of a Department/Section In-charge etc. (10 points for each completed year)</li> <li>(c) Participation in committees concerned with any aspect of departmental or institutional management such as admission committee, campus development, library committee,</li> </ul>	10 10						

	(e) Responsibilities of Laboratory In-charge (Laboratory In-charge 5 each)					
	(f) Organization of Conference / Training Programme  * As Chairman/Organizational Secretary:  International (10 points), National/regional (5 points)  * As member of the organizing committee (1 point each)  * As Co-ordinator, co-chairman, co-convener, Treasurer, Jt.  Secretary (3 point each)					
(iii)	Professional Development Related Activities	Maximum Score 15				
	(a) Membership/participation in State/ Central Bodies/ Committees  * At National level: 3 points each  * At State Level : 2 points each	10				
	(b) Participation in short term training courses less than one week duration in educational technology, curriculum development, professional development, Examination reforms, Institutional governance (each activity: 5 points)	10				
	(c) Publication of articles in newspapers, magazines or other publications (not covered in category 3); radio talks; television programmes ( 2 points each) (d)	10				

#### 4.3 CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

**Brief Explanation**: Based on the faculty's self-assessment, API scores are proposed for research and academic contributions. The minimum API score required by faculty from this category is different for different levels of promotion. The parameters listed in table of Category-III (Research and Academic Contributions) shall have following capping in relation to the total API Score claimed by the candidates:

• III(A): Research Papers (Journa	al etc.) 30%
• III(B): Research Publications (E	Books etc.) 25%
• III(C): Research Projects	20%
<ul> <li>III(D): Research Guidance</li> </ul>	10%
• III(E): Training Courses and Co	onf./Seminar etc. 15%

The self-assessment score will be filled by the faculty and is based on verifiable criteria and will be finalized by the screening/selection committee.

S.N.	APIs	Engineering/ Sciences	Faculties of Languages/ Humanities/ Management	Maximum points for University and college faculty position
III (A)	Research Papers*	Indexed*	Indexed*	15 per
	(Published in	7.0		Publication
	Journals)	Refereed	Refereed	10 per
		Journals*	Journals *	Publication
		Non-refereed but	Non-refereed but	5 per Publication
		recognized and	recognized and	
		reputable	reputable	
		journals and	journals and	
		periodicals,	periodicals,	
		having ISBN/	having ISBN/	
		ISSN numbers.	ISSN numbers.	
		Conference	Conference	5 per Publication
		proceedings as	proceedings as	
		full papers, etc.	full papers, etc.	
		(Abstracts not to		

		be included)	be included)	
III (B)	Research Publications (books, chapters in books, other than refereed journal articles)	Text or Reference Books Published by International Publishers with an established peer review system	Text or Reference Books Published by International Publishers with an established peer review system	50 per book as sole author; 10 per chapter in an edited book
		Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers.	Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers.	25 per book as sole author, and 5 per chapter in edited books
		Subject Books by Other local publishers with ISBN/ISSN numbers.	Other local publishers with ISBN/ISSN numbers.	15 per book as sole author, and 3 per chapter in edited books
		Chapters contributed to edited knowledge-based volumes published by International Publishers	Chapters contributed to edited knowledge- based volumes published by International Publishers	10 per Chapter
		Chapters in knowledge-based Volumes by Indian/National level publishers with ISBN/ISSN numbers and with numbers of knowledge-based volumes by Indian/National level publishers with ISBN/ISSN numbers and with numbers of with numbers of		5 per/ Chapter
		national and international	international	
III C	Research Projects	directories	directories	
III C			Major Projects amount mobilized with grants above 5.0 lakhs	20 per Project for Principal Investigator (PI) and 10 per Project for Co- PI(s)
		Major Projects amount mobilized with grants above 5.0 lakhs up to 20.00 lakhs	Major Projects Amount mobilized with minimum of Rs. 3.00 lakhs up to Rs. 5.00 lakhs	15 per Project for PI and 7.5 per Project for Co-PI(s).
		Minor Projects (Amount mobilized with grants above Rs.	Minor Projects (Amount mobilized with grants above Rs.	10 per Project for PI and 5 per Project for Co- PIs

		50,000 up to Rs. 5 lakh)	25,000 up to Rs. 3 lakh)		
III C (ii)	Consultancy Projects carried out / ongoing	Amount mobilized with minimum of Rs.1.00 lakh	Amount mobilized with minimum of Rs. 0.50 lakhs	10 for PI and 5 for Co-PI(s) for every Rs.1.0 lakhs and Rs.0.50 lakhs, respectively	
III C (iii)	Completed projects: Quality Evaluation	Completed project Report	Completed project report	20 for PI and 10 for Co-PI(s) for each major project and 10 for PI and 5 for Co-PI(s) for each minor project	
III C Projects (iv) Outcome / Outputs		Patent/Technolog y transfer/ Product/Process	Major Policy document of Govt. Bodies at Central and State level	30 for PI and 15 for Co-PI(s) for each national level output or patent and 50 for PI and 15 for Co-PI(s) for each International level output or patent	
III D	Research Guidance			1 1	
III D		0111	01-+-11	1 Points for each	
(i)	B.E. / B.Tech./ Diploma/ ICD	Completed only	Completed only	project	
III D	M.E./ M. Tech./	Degree awarded	Degree awarded	3 Points for each	
(ii)	MBA/ M.Phil/	only	only	candidate	
()	Post Graduate	Thesis submitted	Thesis submitted	2 points for each candidate	
III D	Ph.D.	Degree awarded	Degree awarded	10 Points for	
(iii)		only	only	each candidate	
		Thesis submitted	Thesis submitted	7 Points for each candidate	
III E	TRAINING COURSE		CE /SEMINAR/WO	RKSHOP PAPERS	
	ATTENDED/ORGAN		T		
III E (i)	Refresher courses, Methodology workshops, Training,	(a) Not less than two weeks duration	(a) Not less than two weeks duration	20 points each	
	Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes, Faculty Development Programmes (Max: 30 points)	(b) One-week duration	(b) One-week duration	10 points each	
III E (ii)	Papers in Conference/ Seminars/ workshops etc.**	Participation and Presentation of research papers	Participation and Presentation of research papers		

	T	Г		
		(oral/poster)	(oral/poster)	
		in	in	
		a) International	a) International	10 Points each
		Conference	Conference	
		b) National	b) National	7.5 Points each
		c) Regional/	c) Regional/State	5 Points each
		State level	level	
		d) Local –	d) Local –	3 Points each
		Úniversity/	Úniversity/	
		College	College level	
		level		
III E	Invited lectures or	(a) International	(a) International	10 Points each
(iv)	presentations for	(b) National level	(b) National level	5 Points each
(14)	conferences/	(b) National level	(b) National level	3 Folints each
	•			
III E	symposia	Organizad		
	Short Term Courses	organized		
(v)		CTC organizad	CTC ongo:	10 Doints
		STC organized	STC organized	10 Points per
		******	******	week
		With minimum	With minimum	15 Points per
		30% expert	30% expert	week
		lectures delivered	lectures delivered	
		and 50% expert	and 50% expert	
		lectures must be	lectures must be	
		from outside	from outside	
		Experts	Experts	
		With minimum	With minimum	20 Points per
		50% expert	50% expert	week.
		lectures and 50%	lectures and 50%	
		expert lectures	expert lectures	
		must be from	must be from	
		outside Experts	outside Experts	
III F	Citation of publicat			
III F	Research Paper	Citation in	For each paper if	5 points each
(i)	and a por	referred	cited between 1-5	paper
		journals/proceedi	For each paper if	10 points each
		ngs with	cited between 6-	paper
		ISSN/ISBN	10	paper
		numbers	For each paper if	20 points each
		(indexed only of	cited between 11-	_
		Web of Science/	20	paper
		Scopus/Google		20 maints1-
		scholar and	For each paper if	30 points each
			cited above 20	paper
		excluding self-		
		citation or		
		citation by any of		
		the co-authors)		

Wherever relevant to any specific discipline, the API score for paper in indexed/refereed journal would be augmented as follows:

- (i) paper with impact factor less than 1 by 5 points;
- (ii) papers with impact factor between 1 and 2 by 10 points;
- (iii) papers with impact factor between 2 and 5 by 15 points
- (iv) papers with impact factor between 5 and 10 by 25 points

#### Note:

- 1. Refereed Journal will be accepted as per AICTE/UGC approved list.
- 2. Indexed- Journal indexed by Web of Science, Scopus, Scimago, ESCI, ZR, CASSI,

#### EBSCO A-Z, Index Copernicus, SCI, ISI, ORCID, AHCI etc. will be accepted.

- 3. Impact Factor: As described by Web of Science, Scopus, Scimago, ESCI, ZR, CASSI, EBSCO A-Z, Index Copernicus, SCI, ISI, ORCID, Thomson Reuters, AHCI etc.
- \*\* If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication [III (A)] and not under presentation [III (E)(ii)].

**Note**: The API Score for joint publications/ book will have to be calculated in the following manner: Of the total score for the relevant category of publication by the concerned faculty, the first/Principal author and the corresponding author/supervisor/mentor of the faculty would share equally total score, if the number of authors are more, then the first two authors would share equally 60% of the total points and the remaining authors would share equally 40% of the points.

#### **Examples:**

Suppose a joint publication carried 10 marks, then the distribution of marks will be as under:

- i. In case there are only two authors i.e. first/Principal author and the corresponding author/supervisor/mentor then both the authors are eligible for 10 marks each.
- ii. In case there are three authors then first/Principal author and the corresponding author/supervisor/mentor are eligible for 6 marks each and the third author for 4 marks.

### 4.4 MINIMUM APIS AS PROVIDED TO BE APPLIED FOR THE PROMOTION OF FACULTY UNDER CAS AND WEIGHTAGES FOR EXPERT ASSESSMENT

		ITAGES FOR				I
Sr.	0 3		Assistant		Associate	Professor
No.			Professor/	Professor (Stage		(Stage 5)
			equivalent	3) to Associate	(Stage 4)	to Professor
		cadres:	cadres:	Professor	to Professor	(Stage 6)
		(Stage 1 to	(Stage 2 to	(Stage 4)	(Stage 5)	
		Stage 2)	Stage 3)			
I	Teaching-learning,	75/Year	75/year	75/year	75/year	75/year
	<b>Evaluation</b> Related					
	Activities (category					
	I)					
II	Co-curricular,	15/Year	15/Year	15/Year	15/Year	15/Year
	Extension				,	,
	and Profession					
	related					
	activities (Category					
	II)					
III	Minimum total	100/Year	100/Year	100/Year	100/Year	100/Year
	average					
	annual Score					
	under					
	Categories I and II*					
IV	Research and	10/Year	20/Year	30/Year (90/	40/Year	50/Year
	Academic	(40/	(100/	assessment	(120/	(500/
	Contribution	assessment	assessment	period)	assessment	assessment
	(Category III)	period)	Period)		period)	period
Expe	rt Assessment	Screening	Screening	Selection	Selection	Expert
Syste	m	Committee	Committee	Committee	Committee	Committee
V #	Percentage	No separate	No separate	30% -	50% -	50% - research.
	Distribution of	points.	points.	Contribution to	Contribution to	50 % -
			Screening		Research.	Performance
	in the	committee to	committee to	50% -	30 % -	evaluation and
	Expert Assessment	verify	verify	Assessment of	Assessment of	other
	(Total	API scores	API scores	domain	domain	credential by
l	weightage = 100.			knowledge	knowledge and	referral

Minimum	and teaching teaching procedure
required for	practices. practices.
promotion is	20 % - Interview 20 % - Interview
50)	performance performance

<sup>#</sup> Evaluation by Selection Committee (Complete component)

- API scores are the merely minimum eligibility for appearing before Selection Committee.
- Candidate has to score minimum 50% in the Expert Assessment for promotion to next higher Stage as per Rule-2.3.

#### 5. APPLICATION FORM/PROFORMA FOR PROMOTION UNDER CAS

The Proforma to be filled up by the faculty for promotion under Career Advancement Scheme has been devised by the Institute as per AICTE, New Delhi Regulations 2010 and 2012. The same is attached at **APPENDIX-I**. All the faculty seeking promotion under Career Advancement Scheme are required to apply for the same in the prescribed proforma only. Any application for promotion under CAS, which is not on the prescribed proforma will not be entertained and will be rejected straightway.

#### 6. COUNTING OF PAST SERVICE

The regular active service within the Institute will only be considered for promotion under CAS. The service rendered by any faculty other than the SLIET will not be considered towards promotion under CAS.

#### 7. PRELIMINARY SCREENING COMMITTEES

- 7.1 The Preliminary Screening Committee shall consist of the following: **Preliminary Screening Committee (Level-I)** (For Verification of API Scores)
  - i. Head of the concerned Department Chairman
  - ii. Two Professors or Associate Professors of the Department Member to be nominated by the Director
  - iii. One Professor or Associate Professor of other Department Member

#### Preliminary Screening Committee (Level-2)

(For overall screening)

i.	Two Deans to be nominated by the Director	Chairman
	(Out of which one will act as Chairman)	Member
ii.	Head of the concerned Department	Member
iii.	Registrar or his nominee	Member

- 7.2 The Preliminary Screening Committee will scrutinize the application of the faculty seeking promotion under CAS to assess that the application is complete in all respect and supporting with all requisite documents.
- 7.3 To assess eligibility of the candidate for promotion under CAS in terms of length of service required qualifications and other general conditions.
- 7.4 To assess that the candidate is tentatively fulfilling the API Score Criteria.
- 7.5 The Preliminary Screening Committee if satisfied that the candidate is fulfilling the pre-requisite qualifications to be considered for promotion under CAS may recommend the Director to consider the candidate(s) by the respective Screening-cum-Evaluation or Selection Committee.
- 7.6 If required under special circumstances, preliminary screening may be got done from external experts (outside Institute) as nominated by the Director.

#### 8. SELECTION COMMITTEES AND GUIDELINES ON SELECTION PROCESS

- 8.1. While CAS promotions from a lower grade to a higher grade of Assistant Professor shall be decided by a "Screening cum Evaluation Committee", those to the grades of Associate Professor and Professor shall be decided by respective Selection Committees, by adhering to the criteria laid down for API score in PBAS.
- 8.2 The meetings of the Screening-cum-Evaluation/Selection Committee will be convened by the Chairman of the Selection Committee as and when necessary.
- 8.3 Four members of the Selection Committee shall form the quorum, consisting of at least two experts.
- 8.4 All the selection procedures outlined above, shall be completed on the day of the selection committee meeting, wherein the minutes are recorded along with PBAS scoring proforma and recommendation made on the basis of merit and duly signed by all the members of the selection committee in the minutes.
- 8.5 Recommendations of the Selection Committee/Screening-cum-Verifications Committee will be placed before the BOM, for final approval and subsequent issue of promotion/appointment orders by the Registrar.
- 8.6 If the Board of Management is unable to accept the recommendations of the Selection Committee, it shall record its reasons about it.

#### 8.7 Screening-cum-Verification Committees

The Screening-cum-Verification Committee for promotion of faculty from Assistant Professor (Stage-1 to Stage-2) and Assistant Professor (Stage-2 to Stage-3) shall consist of:

i. Director Chairperson

ii. One nominee of MHRD, New Delhi

Member

- ii. One Expert from the Directory of Subject Experts approved by Member the BOM to be nominated by the Director
- iii. Dean to be nominated by the Director

Member

iv. Head of the Department concerned Member (if the post of selection is being made higher in status than occupied by the Head of the Department, one nominee of the BoM be included).

#### 8.8 Selection Committees

The Selection Committees for promotion of faculty from Assistant Professor to Associate Professor (Stage-3 to Stage-4) and Associate Professor to Professor (Stage-4 to Stage-5) shall consist of:-

i. Director Chairperson

ii. One nominee of MHRD, New Delhi

Member

- ii. Three Experts from the Directory of Subject Experts Member approved by the BOM to be nominated by the Director
- iii. Dean to be nominated by the Director

Member Member

iv. Head of the Department concerned (if the post of selection is being made higher in status than occupied by the Head of the Department, one nominee of the BoM be included).

#### 9. DIRECTORY OF SUBJECT EXPERTS

- 9.1 The Institute will create a panel of Subject Experts and update it preferably on annual basis.
- 9.2 The list will be prepared by taking inputs from Departments. Director may also add extra names or delete some from the list.
- 9.3 Normally, the experts should be drawn from NITs, IITs, IIMs, NITTTRs, IISERs, IISc, IIEST, Centre / State University Departments, major R&D Laboratories/Technical & R&D Institute of repute such as CSIR, ICAR, DAE, ISRO, DRDO etc., CFTIs, major industry and top 100 NIRF Ranking Institutes' of current year.
- 9.4 The list, along with postal and electronic address, designation, specialization and other relevant particulars of proposed experts is to be placed before the Board of Management for approval.
- 9.5 It is extremely important that the suggested panel of experts is examined critically by the Board and any member with questionable integrity is removed.
- 9.6 Normally, the experts shall be invited by the Director from the approved Directory of Subject Expert. However, in case the experts from the approved Directory is / are unable to attend / not available, the Director may invite experts other than those in the Directory of Experts preferably from IITs / NITs, with intimation to the Chairman, BoM.

#### 10. RESIDUARY MATTERS:

In regard to matters not specified or referred to in these Rules, the faculty in the posts specified in these Rules shall be governed by the directions/regulations of the AICTE/BOM and other Orders applicable to the teaching employees of the SLIET in general.

#### 11. POWER OF RELAX:

If the BOM, on recommendations made by the Director to that effect, is of the opinion that it is necessary or expedient to do so for reasons to be recorded in writing, it may relax any of the provisions of these Rules.

#### 12. REPEAL AND SAVINGS:

All the earlier guidelines/decisions of the BOM or any authority regarding the matters governing method of promotion of faculty in respect of the posts included in these Recruitment Rules shall stand repealed on the date on which these Rules come into force.

#### 13. INTERPRETATIONS:

Any question relating to interpretation of these Rules, the decision of the BOM shall be final unless otherwise specifically included in these Rules.

#### 14. ABBREVATIONS RELATED TO THESE RULES AND REGULATIONS

- "API" means Academic Performance Indicators
- "PBAS" means Performance Based Appraisal System
- "TEQIP" means Technical Education Quality Improvement Programme.
- "CIIILP" means Canada India Institute Industry Linkage Program
- "CPC" means Central Pay Commission
- "ISTE" means Indian Society for Technical Education
- "NITTTR" means National Institute Technical Faculty Training & Research
- "IIT" means Indian Institute of Technology
- "DTE" means Directorate of Technical Education

- "SBTE" means State Board of Technical Education
- "NIT" means National Institute of Technology
- "IIM" means Indian Institute of Management
- "IISER" means Indian Institute of Science Education & Research
- "IISc" means Indian Institute of Science.
- "IIEST" means Indian Institute of Engineering Science & Technology
- "CSIR" means Council of Scientific and Industrial Research
- "ICAR" means Indian Council of Agricultural Research
- "DAE" means Department of Atomic Energy
- "ISRO" means Indian Space Research Organization.
- "DRDO" means Defence Research and Development Organization
- "CFTI" means Central Funded Technical Institution
- "ESCI" means Emerging Course Citation Index
- "ZR" means Zoological Record
- "CASSI" means Chemical Abstracts Services Source Index
- "SCI" means Science Citation Index
- "ISI" means International Scientific Indexing
- "NIRF" means National Institute Ranking Framework
- "NEPTEL" means National Programme on Technology Enhanced Learning
- "QIP" means Quality Improvement Programme

#### APPENDIX-I



Sant Longowal Institute of Engineering & Technology (Deemed to be University under MHRD, Govt. of India) Longowal, District Sangrur-148106, Punjab, India www.sliet.ac.in

Circular No.	
Date	

#### PBAS Proforma for promotion under AICTE Career Advancement Scheme

Application for promotion from:	
Period of Assessment for the purpose of prom	otion (including grace period, if any):
From	_to

#### PART A: GENERAL INFORMATION AND ACADEMIC BACKGROUND

1	Name (in Block Letters)	
2	Father's Name/Mother's Name	
3	Department	:
4	Current Designation & Grade Pay	
5	Date of Last Promotion with date and order Number	:
6	Position and grade pay/level for which an applicant under CAS?	:
7	Date of eligibility for promotion	:
8	Date and Place of Birth	:
9	Sex	:
10	Marital Status	:
11	Nationality	:
13	Address for correspondence (with Pin code)	:
14	Permanent Address (with Pin code)	:

14.a	Contact Number	:
14.b	E-Mail	:

15. Academic Qualifications (Matric onwards):

Examination	Specialization	University/Board	Year	CGPA/ % of marks obtained	Division & Distinction
Matric					
Intermediate (10+2)					
Bachelor's Degree (BE/B.Tech./B.Sc./ BBA)					
Master's Degree (ME/M.Tech./ M.Sc./MBA)					
Ph.D					
D.Sc./D.Litt.					
Other Exams (if any)					
Serial Number of Proof	Attached				

16. Record of service in SLIET

Designation	Essential qualifications for the post at the time of appointment	Nature of appointment (Regular/ Fixed term/ Temporary/ Adhoc)	Nature of Duties	Pay- Scale	Period		Total experience
					From	То	

17. Record of academic service prior to joining SLIET (please attach relevant certificates of service experience)

Institution	Designation	Nature of	Nature of	Pay	Period	
		appointment (Regular/ Fixed term/ Temporary/ Adhoc)	Duties	Scale	From	То

Please Indicate	, whether i	in	previous	service:
-----------------	-------------	----	----------	----------

	S.No.	Title	Place	Duration	No. of weeks (1/2/3)	Sponsor Agency	ring
19.	Short T	erm Courses at	ttended:				
18.	Total p	eriod of teachi	ng Experience: (	in Years)			
f)	The p	revious appoint	ned Institution, f tment was not a ancy of less than	s guest lectur	e for any duration	, or an ad-	Yes/No
e)	down	in the R	Regulations of	University/	ed selection proced State Governme		Yes/No
d)		her possess the ppointment to th		qualifications	s as prescribed by	the AICTE	Yes/No
c)	Whetl	her applied thro	ough proper char	inel.			Yes/No
b)	The p	oost is/was in ar	n equivalent grad	le or of the rev	rised scale of pay.		Yes/No
a)		-	fications of the bed by the AICTI	-	were not lower	than the	Yes/No

20. List of Publication (for the purpose of evaluation, attach copy of only best five publications):

Serial Number of Proof Attached .....

	publications).							
Sr.No.	Authors	Title	Journal	Vol/No./Yr./pp	Indexed by	Impact Factor		

#### 21. Any other relevant information:

#### 22. FUTURE PLANS

i)	Teaching:							
ii)	Research:							
	of self-attested testimonials attached (original to be produced at the tise tick $$ the ones applicable	me of inter						
i.	Matriculation Mark sheet / certificate							
ii	Graduation mark sheets/ degree							
iii	Post-Graduation mark sheets/ degree							
iv	M.Phil. degree							
v	Ph.D./ M.Phil. Degree							
vi	Experience Certificates other than SLIET							
vii	Post-Doctoral Fellowship							
viii	Award (s)/ Fellowship (s)							
ix	Academics Distinctions							
x	Academic duties performed							
xi	Administrative duties performed							
xii	Research Publications including Books							
xiii	Seminars/ Conferences/ Workshops/ Training Programmes attended							
xiv	Seminars/ Conferences/ Workshops/ Training Programmes organized							
xv	Research Guidance (No. of Students Guided)							
xvi	Research Projects							
xvii	Papers presented in Seminars/ Conferences/ Workshops							
xviii	Peer recognition/citation of publications							
xix	Consultancy undertaken							
XX.	Others							

 $\textbf{N.B.} \ Applications \ without \ the \ above \ self-attested \ testimonials \ will \ not \ be \ entertained.$ 

<u>Certificate/Declaration</u>	
I, son/ daughter of that all the statements and entries made in this application are true, complet of my knowledge and belief. In the event of any information being four ineligibility being detected before or after the Selection Committee or a candidature/ appointment may be cancelled by the SLIET, Longowal.	nd false or incorrect or
	signature of the applicant
Verified by th	e Reporting Officer/HoD
Signation	are of Head of Department

# PART B: ACADEMIC PERFORMANCE INDICATORS (To be filled by the Candidates)

Name :	Present Designation:
Department :	

#### Summary of API score for the Assessment Period

(Candidate has to fill information up-to Sr. no. 7 only)

S. No.	Type of activity	Details
1.	Teaching Learning, Evaluation for last year of assessment period (category I)	
2.	Co-curricular, Extension and Professional for last year of assessment period (category II)	
3.	Total of category I and II of last academic year of assessment period*	
4.	Total average under category I and II*	
5.	Research & Academic Contribution for assessment period (Category III) with capping of each sub-categories	
6.	% Weightage of Category I+II	
7.	% Weightage of Category III	
8.	Total of 6 and 7 above	
9.	% of Interview performance by Selection Committee	
10.	Percentage Distribution of Weightage Points in the Expert Assessment (Total Weightage = 100. Min. Required for promotion is 50)	

<sup>\*</sup> Faculty may score additional 10 points from either Category I or II to achieve minimum score required under category I+II

Dated: (I	Name & Signature)
-----------	-------------------

#### CATEGORY I: Teaching, Learning and Evaluation Related Activities

(Details of most recent academic year of the assessment period is to be given

#### (i). TEACHING WORK ASSIGNED

(Semester I)

	Duration :					to				
S.	Semester/C	Sub.	Subject	No. of hours		Total	Classes	%age	API	
No.	lass	Code	Name	engaged per		scheduled	held	held	Score	
				week		classes				
				L	T	P				
	Total API Score									
Seria	l Number of Pr	oof Atta	ched							

(Semester II)

	(00000000000000000000000000000000000000										
	D	uration	:			to_					
S.	Semester/C	Sub.	Subject	No.	of hot	ırs	Total	Classes	%age	API	
No.	lass	Code	Name		engaged per		scheduled	held	held	Score	
				week		classes					
				L	T	P					
	Total API Score										
Seria	l Number of Pr	oof Atta	ched								

(Summer courses)

	D	uration	:			to _				
S.	Semester/C	Sub.	Subject	No.	of hot	ırs	Total	Classes	%age	API
No.	lass	Code	Name	enga	engaged per		scheduled	held	held	Score
				,	week		classes			
				L	T	P				
	Total API Score									
Seria	1 Number of Pr	oof Atta	ched							

Period	API Score
Semester I (Max. 25)	
Semester II (max. 25)	
Teaching load in excess of AICTE norms including extra load during semester and summer term (max. 10)	
Total API score	

	ading/ Instruc adents	tional m	aterial c	onsulted,	and add	itional knov	wledge r	esources prov	vide	d to
S. No.	Semester /Class	Sub. Cod	Sub. Name	Consult	ed	Prescribed		Additional Resource provided	A	API Score
instru	ore based on p ction as per cu	ırriculu	m and sy	llabus en	richmen	t by providi	ng	API Score		
	onal resources									
Serial	Number of Pro	of Attac	ched, if a	ny						
	e of Participato urse Improven			e Teachir	ng-Learni	ing Methodo	ologies,	Updating of S	ubj	ect Content,
S.No.	Short De								API	Score
	Total Sco	re (max	imum sc	ore:20)						
Serial N	Number of Pro	of Attac	hed, if ar	ny						
(iv) Exa	mination duti	es assig	ned and	performe	d:					
S.No.	Type of Examinatio Duties	n	Date an				Extent to which carried out (%)		1	API Score
	Total Score	(Max:2	5)							
Serial N	Number of Pro	of Attac	hed	•••••	•	<u>'</u>				

# CATEGORY: II. CO-CURRICULAR, EXTENSION, PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES

(Details of most recent academic year of the assessment period is to be given)

S.No.	Type of Activities / Position	Duration/Date and time	Contributions	API Score
(i)	Extension, Co-curricular & field-based Activities			
	Total (Maximum20)			
(ii)	Contribution to corporate life and Management of the Institute		Yearly/ Semester wise responsibilities	API Score
	Total (Maximum: 15)			
(iii)	Professional Development Activities e.g. Organizing STC/ Seminar/ Conference/Continuing education program			
	Total (Maximum 15)			
	Total Score (i+ii+iii)			
Serial I	Number of Proof Attached, if any		1	

# CATEGORY: III. RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS (Details of entire assessment period is to be given)

(For promotion to Professor, period from start of stage 3 can be counted along-with stage 4) (A) Published Papers in Journals

S.N.	Title with	Journal	ISSN/ISBN	Whether	No. of Co-	Whether	API
	Vol/No./Yr./PP		No.	peer	authors	you are the	Score
				reviewed.		main	
				/Indexed		author	
				(Impact			
				factor, if			
				any)			
·							
Serial	Number of Proof A	ttached					
	Number of Proof A						

#### B (i) Articles/ Chapters published in Books

S.N.	Title with page	Book Title,	ISSN/ISBN	Whether	No. of Co-	Whether	API
	no.	editor &	No.	peer	authors and	you are the	Score
		publisher		reviewed.	Date of	main	
					Publication	author	
Serial	Number of Proof A	ttached					

#### B(ii) Full papers in Conference Proceedings

<del>-</del> (, -	an papers in come	01100 1 1 0 0 0 0 0 1111 8 0			
S.N.	Title with page no.	Details of conference Publications	No. of Co- authors and Date of publication	Whether you are the main author	API Score
Serial	Number of Proof Atta	ached			

#### B (iii) Books published as single author or as editor

S.N.	Title with page no.	Type of Book & Authorship	Publisher & ISSN/ISBN No.	Whether Peer Reviewed	No. of Co- author & Date of Publication	Whether you are the main author	API Score
Serial	Number of P	roof Attached					

#### C (i ). Ongoing Research Projects

S.N.	Title	Agency		Duratio	n	Grant/ Amount	API Score
			From To Years		Years	Mobilized (Rs Lakhs)	
Serial	l Number of	Proof Attached		•			

#### C (ii). Ongoing Consultancy Projects

S.N.	Title	Agency			ion	Grant/ Amount	API Score
					Years	Mobilized (Rs Lakhs)	
Serial	Number of Proc	of Attached		•			

#### C (iii). Completed Research Projects

S.N.	Title	Agency	Duration			Grant/	Whether	API
			From	From To Yea		Amount	Policy	Score
						Mobilized (Rs	document/	
						Lakhs)	patent as	
							outcome	
Serial	Number of Proc	of Attached						·

#### C (iv). Completed Consultancy Projects and Outcome

S.N.	Title	Agency	Duration			Grant/	Whether	API
			From	То	Years	Amount Mobilized (Rs Lakhs)	Policy document/ patent as outcome	Score
Serial	Number of Proc	f Attached						

#### (D) Project/Research Guidance

S.N.	Number Enrolled	Thesis Submitted	Degree Awarded	API Score						
Dip. /B. E.										
Project										
M. Phil or										
Equivalent										
Ph.D or										
Equivalent										
Serial Numb	Serial Number of Proof Attached									

# E(i) Training Courses, Teaching-Learning-Evaluation Technology, Faculty Development Programs attended

S.N.	Programme/ Title		Dura	ntion	Organised by	API			
		From To No. of weeks				Score			
Serial N	Serial Number of Proof Attached								

#### E (ii) Papers presented in Conferences, Seminars, Workshops, Symposia

S. No.	Title of the paper presented	Title of Conference/ Seminar etc	Date(s) of the event	Organised by	Whether International/ National/State/	API Score
					Regional/University or College Level	
Serie	1 Number of P	roof Attached				

E(iii) Invited Lectures and Chairmanships at National or international Conference/ Seminars

S.N.	Title of	Title of	Date(s)	Organised	Whether	API
	Lecture/	Conference/Seminar	of the	by	International/	Score
	Academic	etc	event		National/State	
	Session					
Serial N	Jumber of Proof	f Attached				

Serial Number of Proof Attached .....

#### F(i) Citations of publications/patents etc.

		-	-						
S.N.	Title with	Journal	ISS	N/ISBN	Autho	r names			
	page no.		No.						
1									
					etails o	of citation(s)			
	Title with page no.	Journal		Author(s)		ISSN/ISBN No.	Whether indexed	Whether cited by author/co-author	API Score
i									
ii									
iii									
2.					,				
I									
II									
Serial	Number of	Proof Attac	hed .						

Note: The Total Score under Category-III may be calculated as per following ca	apping:-
--	----------

The	parameters	listed	in	table	of	Category-III	(Research	and	Academic	Contributions)	shall	have
follo	wing capping	g in rela	atio	n to th	e to	otal API Score	claimed by	y the	candidates	:		

•	III(A): Research Papers (Journal etc.)	30%
•	III(B): Research Publications (Books etc.)	25%
•	III(C): Research Projects	20%
•	III(D): Research Guidance	10%
•	III(E): Training Courses and Conf./Seminar etc.	15%

#### IV SUMMARY OF API SCORES

	Criteria	Last Academic Year	Total – API Score for Assessment Period	Annual Av. API Score for Assessment Period
I	Teaching, Learning and Evaluation related Activities			
II	Co-curricular, extension, professional development etc.			
	Total = I + II			
		Last Academic Year	Previous total of assessment period	Sum Total of assessment period
III	Research and Academic Contribution			

#### OTHER RELEVANT INFORMATION

Please give details of any other credential, significant contributions, awards received etc. not mentioned earlier.

S.N.	Details (Mention Year, Value etc. where relevant)
	Certificate/Declaration

I,	son/ daughter of		hereby declare
that all the stateme	ents and entries made in this	application are true, compl	lete and correct to the best
of my knowledge	and belief. In the event of	any information being fo	und false or incorrect or
ineligibility being	detected before or after the	e Selection Committee or	at any other stage, my
candidature/ appoi	intment may be cancelled by the	he SLIET, Longowal.	

Date:	Signature of the applicant <b>Name</b>

#### Verified by the Reporting Officer/HoD

Signature of Head of Department

N.B: The individual PBAS Performa duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the Institute as necessary and placed before the Screening-Cum-Evaluation Committee or Selection Committee for assessment /verification.